

# **2015 Consolidated Annual Performance Evaluation Report (CAPER) City of Plano, Texas**



**DRAFT CAPER**

**Prepared for the U.S. Department of Housing and Urban  
Development**

## **CR-05 - Goals and Outcomes**

**Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)** This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Each year, at the recommendation of the Community Relations Commission, City Council allocates funding to eligible activities which may include housing activities, emergency and public services. For the 2015-16 program year (PY), the City of Plano received an entitlement of \$1,543,555 in Community Development Block Grant (CDBG) and HOME Investment Partnership (HOME) funds and \$274,974 in CDBG and HOME program income. The total expended for CDBG and HOME, in the amount of \$1,766,283, includes funds from PY 2015-16 and prior years. All unexpended federal funds will carry over to PY 2016. General funds totaled \$270,900. With the assistance of nonprofit and faith-based organizations, the combined federal and general funds enabled the City to accomplish specific goals set forth in the 2015-2019 Consolidated Plan.

The City of Plano recognizes the important role of nonprofit organizations within our community in addition to the City administered programs. A total of seven agencies received CDBG and/or HOME funds to help the City of Plano serve residents during the 2015 program year. Federal dollars were attributed to individuals and households at or below 80% of the Dallas area median income as determined by HUD. The City of Plano requires 100% of persons assisted with HUD funds to meet income guidelines. During the past program year, 264 individuals and 80 households living in the city of Plano had access to new and/or improved services which helped them maintain and, in many cases, improve their economic situations. The Consolidated Plan sets parameters to address community needs through the use of HUD and City funds.

The City of Plano set aside general fund dollars to be distributed as Robert W. Buffington Community Services Grants (BCSG). These funds supplement federal resources available to accomplish Consolidated Plan goals. The program year for BCSG funds coincides with the HUD program year. In 2015, \$270,900 in general funds were set aside for BCSG grants. The City partnered with fifteen social services agencies to provide short-term, urgent economic assistance or care services, offering immediate relief of crisis impacting the physical and/or mental health to Plano residents with BCSG funds. Though the City does not have a low income level requirement for BCSG funds, most BCSG agencies report they assist those with the greatest need and are low income. Based on PY 2015 final reports received to date, 6,465 Plano residents and households were assisted with BCSG funds.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**  
Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Homeless Prevention	Homeless (City of Plano)	CDBG: \$86,461	Homelessness Prevention	Persons Assisted	150	40	26.67%	47	40	85.11%
Homeless Shelter and Services	Homeless	CDBG: \$11,170	Homeless Person Overnight Shelter	Persons Assisted	200	18	9.00%	44	18	40.91%
Homeownership	Affordable Housing	CDBG: \$120,000 / HOME: \$270,000	Direct Financial Assistance to Homebuyers	Households Assisted	25	3	12.00%	8	3	37.50%
Housing Rehabilitation	Affordable Housing	CDBG: \$825,996 / HOME: \$161,186	Homeowner Housing Rehabilitated	Household Housing Unit	125	33	26.40%	39	34	87.18%
Supply of Units	Affordable Housing	HOME: \$94,253	Homeowner Housing Added	Household Housing Unit	30	4	13.33%	7	4	57.14%
Public Services - Special Needs	Homeless Non-Homeless Special Needs	CDBG: \$69,000	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1500	246	16.40%	150	246	164.00%

Job Training	Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$0	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	60	0	0.00%	0	0	0.00%
Public Services - Medical/Dental	Non-Housing Community Development	CDBG: \$0	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	100	0	0.00%	0	0	0.00%
Public Services - Transportation	Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$0	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	150	0	0.00%	0	0	0.00%

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**

**Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

#### **Affordable Housing**

The City of Plano's Housing Rehabilitation program utilized the remaining PY 2014 funds in combination with the PY 2015 funds to provide home repairs to Plano residents during the program year. A total of \$980,836 in CDBG funds and \$57,653 in HOME funds were utilized during the program year. The City of Plano uses CDBG funds for emergency and limited repair projects, while HOME funds are reserved for home reconstruction projects. PY 2015 funds provided fifteen emergency repairs and nine limited home repairs. PY 2014 funds provided seven emergency repairs and three limited home repairs.

The City of Plano's First Time Homebuyer program assisted three households with purchasing homes in Plano during the program year. \$16,372 in CDBG funds and \$36,114 in HOME funds were used for this program. In addition to offering down payment and closing costs for this program, the City also provided First Time Homebuyer educational classes to 208 potential homebuyers.

Habitat for Humanity of South Collin County used \$35,978 in HOME funds to assist them in completing the construction and closing four new, affordable single family housing units during PY 2015. These four homes began construction in prior program years, but were closed during the program year.

Christ United Methodist Church used \$43,750 to assist them in building one single family home for a low income homebuyer; however, the home closed in program year 2016 and therefore cannot be counted towards PY 2015 accomplishments.

#### **Homelessness Prevention and Homeless Services**

The City of Plano utilized \$86,461 in CDBG funds to prevent forty households from becoming homeless by providing rent and/or utility assistance. Individuals participating in this program received case management to address all of the issues that caused them to be in their financial situation.

The Samaritan Inn used \$11,170 in CDBG funds to provide transitional shelter services to 18 individuals that became homeless in Plano. This organization underwent administrative changes during the program year causing them to not utilize all of their CDBG public service dollars, and fall short of their goal.

#### **Public Services for Special Needs Populations**

The Collin County Committee on Aging met and exceeded their goal by providing case management and food services to 112 Plano residents. The organization used \$27,000 in CDBG dollars to serve 194 elderly and/or severely disabled Plano residents.

Jewish Family Services of Dallas provided in-home case management and medical services to 38 elderly and/or severely disabled Plano residents through the use of \$17,000 CDBG dollars. The organization met their goal.

Texas Muslim Women's Foundation utilized \$25,000 in CDBG funds to assist 14 victims of domestic violence with case management, legal, and in some instances, shelter services. The organization exceeded their goal of assisting 11 individuals by three.

## CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)

	CDBG	HOME
White	241	2
Black or African American	64	4
Asian	24	0
American Indian or American Native	2	0
Native Hawaiian or Other Pacific Islander	0	0
Black/African American and White	1	0
Multiracial/Other	6	0
<b>Total</b>	<b>338</b>	<b>6</b>
Hispanic	23	1
Not Hispanic	315	5

**Table 2 – Table of assistance to racial and ethnic populations by source of funds**

### Narrative

CDBG and HOME funds were used to help all Plano residents regardless of race and/or ethnicity. When reviewing the above Table 2, approximately thirty six percent of the households and individuals assisted with these funds classified themselves as minority. Approximately nineteen percent of minorities reported an ethnicity of Hispanic. As mentioned earlier, all of the people assisted with CDBG and HOME funds had an income at or below 80% of the area median income.

## CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	HUD	\$6,192,785	\$1,555,823
HOME	HUD	\$1,686,031	\$224,069
Other	Buffington Community Services Grant	\$270,900	\$257,329

**Table 3 - Resources Made Available**

### Narrative

The City of Plano began PY2015 with \$1,183,071 in newly allocated CDBG dollars and \$360,000 in newly allocated HOME funds. As noted in the PY2014 CAPER, the City of Plano had a balance of \$585,509 in PY2014 CDBG funds and \$326,611 in PY2014 HOME funds as of September 30, 2015. The "Resources Made Available" in Table 3 include CDBG and HOME entitlement funds provided to the City in previous years, but does not reflect the actual amount of funding available as of October 1, 2015, the first day of the PY2015.

### Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description

**Table 4 – Identify the geographic distribution and location of investments**

### Narrative

The City of Plano did not allocate the use of CDBG and HOME funds based on a geographic area. Rather, funds were used based on income eligibility throughout the city limits.

## Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

City of Plano subrecipients are encouraged to leverage HOME and CDBG funds in seeking other funding sources. Diversification of funding sources reduces dependence on HUD funds, which are subject to change annually. Leveraging also decreases the amount of HOME and CDBG funding needed for each project, allowing the City to assist more residents. HOME-funded single family residential and acquisition/rehabilitation projects also leverage funds to maximize affordable housing dollars. Approximately \$750,000 in non-federal funds were leveraged. The City of Plano requires nonprofit agencies producing affordable single family homes to leverage outside funds to complete the construction and/or rehabilitation of houses for low income buyers. Like the First Time Homebuyer program, this permits the nonprofit agency and homebuyer to have a greater equity in the home and also allows the City to distribute program funding dollars to more in need. The City of Plano uses as Match for HOME funds by calculating the interest saved by homebuyers due to obtaining reduced interest or interest free loans and by the deferred payment gap loans made by the developers. The City also uses in-kind volunteer labor provided for HOME construction projects

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	\$610,161
2. Match contributed during current Federal fiscal year	\$104,293
3 .Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$714,454
4. Match liability for current Federal fiscal year	\$67,538
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$646,916

**Table 5 – Fiscal Year Summary - HOME Match Report**



Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contributio n	Cash (non- Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructu re	Site Preparation, Constructio n Materials, Donated labor	Bond Financing	Total Match
2014/13 (658)	9/30/2016	\$0	\$0	\$0	\$0	\$36,829	\$0	\$36,829
2014/13 (636)	12/18/15	\$17,483	\$0	\$0	\$0	\$0	\$0	\$17,483
2014/13 (642)	6/20/16	\$16,204	\$0	\$0	\$0	\$0	\$0	\$16,204
2014/13 (642)	6/29/16	\$16,204	\$0	\$0	\$0	\$0	\$0	\$16,204
2013/14 (622)	7/14/16	\$17,573	\$0	\$0	\$0	\$0	\$0	\$17,573

Table 6 – Match Contribution for the Federal Fiscal Year

## HOME MBE/WBE Report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
\$0	\$274,974	\$255,667	\$0	\$19,307

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Number	12	0	1	2	1	8
Dollar Amount	\$765,951	\$0	\$243,938	\$79,459	\$5,550	\$437,004
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Number	14	3	11			
Dollar Amount	\$354,632	\$25,685	\$328,947			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

**Table 8 – Minority Business and Women Business Enterprises**

<b>Minority Owners of Rental Property</b> – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	<b>Total</b>	<b>Minority Property Owners</b>				<b>White Non-Hispanic</b>
		<b>Alaskan Native or American Indian</b>	<b>Asian or Pacific Islander</b>	<b>Black Non-Hispanic</b>	<b>Hispanic</b>	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

**Table 9 – Minority Owners of Rental Property**

<b>Relocation and Real Property Acquisition</b> – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition		
Parcels Acquired	0	0
Businesses Displaced	0	0
Nonprofit Organizations Displaced	0	0
Households Temporarily Relocated, not Displaced	0	0

Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

**Table 10 – Relocation and Real Property Acquisition**

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of homeless households to be provided affordable housing units	0	0
Number of non-homeless households to be provided affordable housing units	15	7
Number of special-needs households to be provided affordable housing units	0	0
<b>Total</b>	<b>15</b>	<b>7</b>

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through rental assistance	47	40
Number of households supported through the production of new units	7	4
Number of households supported through the rehab of existing units	39	33
Number of households supported through the acquisition of existing units	0	0
<b>Total</b>	<b>93</b>	<b>77</b>

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Discuss how these outcomes will impact future annual action plans.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	88	1
Low-income	250	6
Moderate-income	0	0
<b>Total</b>	<b>338</b>	<b>7</b>

Table 13 – Number of Persons Served

**Narrative**

Through policy, funding commitments, and in-house programs, the City has provided opportunities to maintain and increase its affordable housing stock. During PY 2015-16, first time homebuyers received homeownership assistance for existing, rehabilitated or newly constructed homes and current homeowners were assisted through the housing rehabilitation program. As shown in Table 12, the City of Plano did not meet all of their affordable housing goals within the year. The current housing market is one of the factors that can be attributed to this inability to meet affordable housing goals. Those that are interested in purchasing homes, continue to struggle finding a home that they can afford. Likewise, for those that have homes, the cost of rehabilitation has increased. The Housing Rehabilitation Program experienced an increase in the average costs to repair homes that are participating in the program. The market has also negatively affected the building of new affordable housing units. The City works with nonprofit developers to assist in building new in-fill homes. The city of Plano is limited on the amount of available, affordable land. Consequently, developers were unable to meet production of new unit goals. If the above outcomes continue in future annual action plan years, the City of Plano may not reach the affordable housing goal established in the 2015-2019 Consolidated Plan. The City of Plano will continue to re-evaluate its housing programs and policies to ensure that Plano's low and extremely low income residents receive assistance.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

**Addressing the emergency shelter and transitional housing needs of homeless persons**

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

### **Narrative**

The City of Plano actively pursues avenues to address homelessness and participates in coordinating homeless services in the community. To address homelessness prevention, HUD funds were used to help families and individuals remain housed through rent, mortgage, utility and/or case management services. Metro Dallas Homeless Alliance, the local Continuum of Care, has proposed a regional strategy to take action on homelessness in Collin County. The proposal includes additional funding, coordinated access for homeless persons, a Collin County Assessment Center and referral hotline. Other efforts to provide solutions to homelessness include participation in the Collin County Point-in-Time Homeless Count and the Collin County Homeless Coalition. The Coalition, which consists of homeless service providers, neighboring cities, faith-based organizations and educational institutions, is seeking ways to meet the need for services not currently available in Collin County. Through the efforts of the Coalition and the support of the agencies by the City of Plano, two agencies in Plano began receiving emergency Solutions Grant funds from the State of Texas to assist in ending homelessness and furthering the continuum of care for Plano residents. These funds are being utilized to rapidly re-house homeless families and homeless young adults.

## **CR-30 - Public Housing 91.220(h); 91.320(j)**

**Actions taken to address the needs of public housing**

**Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

**Actions taken to provide assistance to troubled PHAs**

### **Narrative**

During PY 2015-16, the Plano Housing Authority offered a walk-in medical clinic one afternoon per week and sponsored a "Back 2 School Block Party" for residents. City staff continued to work with the Plano Housing Authority (PHA) staff to ensure that residents are aware of our opportunities for homeownership through programs such as the First Time Homebuyer program. City staff and PHA staff meet monthly to discuss programs and how both entities can work together. The PHA is not deemed as "Troubled."

## **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

**Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

**Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

**Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

**Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

**Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

### **Underserved Needs**

Recognizing Federal resources alone are not sufficient to meet community needs, the City of Plano created the Buffington Community Services Grant (BCSG) in 1998. The grant consists of general funds to help meet underserved needs and assist agencies in accomplishing their missions.

### **Foster and Maintain/Eliminate Barriers to Affordable Housing**

Ensuring that Plano residents have access to affordable housing is a priority for the City of Plano. This effort is accomplished through the City's First Time Homebuyer Program and Housing Rehabilitation Program as noted in earlier narratives. In addition, the City is committed to implementation of its Fair Housing Action Plan.

### **Overcome Institutional Gaps and Enhance Coordination**

In order to overcome gaps in institutional structures and enhance coordination, Community Services Division staff actively collaborates with outside agencies, which include: the Collin County Homeless Coalition, Metro Dallas Homeless Alliance, Collin County Social Service Association, and Texas Health Resources Community Health Council. Other efforts include networking with neighboring participating jurisdictions through the National Community Development Association and advocating for elimination of duplicate services among public service agencies.

### **Public Housing and Resident Initiatives**

During PY 2015-16, the Plano Housing Authority (PHA) offered a walk-in medical clinic one afternoon per week and sponsored a "Back 2 School Block Party" for residents. The City also



collaborated with the PHA to ensure their program participants were aware of the City's First Time Homebuyer Program.

**Lead-Based Paint Hazard Reduction**

City staff has been trained and certified in lead-based paint risk assessment and hazard reduction. All houses built prior to 1978 are inspected for lead hazards prior to rehabilitation and home purchase. If potential hazards exist, homeowners receive lead based paint notification and the appropriate level of action is included in the rehabilitation work.

## **CR-40 - Monitoring 91.220 and 91.230**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

### **Citizen Participation Plan 91.105(d); 91.115(d)**

**Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

#### **Monitoring and Compliance**

City staff attended various HUD-sponsored training sessions as well as participated in webinars during PY 2015-16. These efforts are to ensure staff is current with new and/or revised federal regulations regarding HUD funded programs. Staff attended training sessions and/or webinars in the areas of IDIS and E-Con Planning Suite, CDBG/ HOME Trainings, HUD Regional Quarterly Meetings, and National Community Development Association Trainings and seminars.

For planning/monitoring requirements, staff conducts regular desk monitoring and annual onsite monitoring of programs to ensure program and comprehensive planning compliance. In addition, staff requires mandatory training for all CDBG and HOME subrecipients and publishes a "CDBG and HOME Subrecipient Compliance Manual" for those agencies and provides ongoing technical assistance as needed.

#### **Citizen Participation Plan**

During PY 2015, the City amended its participation plan in accordance with the Assessment of Fair Housing Furthering (AFH) guidance published in April 2016. This report was prepared by the Community Services Division of the Neighborhood Services Department. A public notice will be placed in the Plano Star Courier on or about November 20, 2016 for residents to view the draft document. Open meetings were held on November 17<sup>th</sup> before the Community Relations Commission and December 12<sup>th</sup> before City Council. The notice also informed the public that a copy of the draft report could be obtained at the Community Services Division office and via the web. A 15-day public comment period was held from November 21<sup>st</sup> to December 6<sup>th</sup>.

## **CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

### **Narrative**

There were no changes in the City of Plano's program objectives.

## **CR-50 - HOME 91.520(d)**

**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

**Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.**

**Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

**Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

### **Affordable Housing**

The City of Plano has not used U.S. Department of Housing and Urban Development (HUD) dollars on affordable rental housing and therefore HOME 91.520(d) is not applicable.

The City of Plano seeks to ensure that all residents have access to affordable housing. The City of Plano provided three Resolutions of Support for applications seeking Low Income Housing Tax Credits (LIHTC) for the construction of new affordable units with the city limits, during program year 2015. Through policy, funding commitments, and in-house programs, the City has provided opportunities to maintain and increase its affordable housing stock. During PY 2015-16, first time homebuyers received homeownership assistance for existing, rehabilitated or newly constructed homes and current homeowners were assisted through the housing rehabilitation program.

### **Outreach to MWBEs and Affirmative Marketing Actions**

Staff continued marketing and outreach to Minority Business Enterprises (MBEs) by utilizing local media, searching websites such as the Small Business Administration and local chapters of national associations. These efforts along with networking and referrals resulted in 53% (\$328,947) of total funds awarded through housing rehabilitation as MWBE contracts. This represents a 10% increase from PY 2014. Also, the City exceeded its goal of awarding 10% of construction contracts to Section 3 businesses and 3% of non-construction contracts to Section 3 businesses. From October 1, 2015 through September 30, 2016, the City of Plano paid \$620,327 to contractors providing construction and non-construction services for the City's Housing Rehabilitation Program. HUD's Section 3 Guidelines require recipients of their funds, to the greatest extent possible, provide job training, employment and contract opportunities for low income residents. During PY 2015, 33% of construction and non-construction contracts were

awarded to contractors that met Section 3 guidelines.

**CR-60 - ESG 91.520(g) (ESG Recipients only)**

**The City of Plano is not an ESG Recipient.**

**ESG Supplement to the CAPER in *e-snaps***

**For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

<b>Recipient Name</b>	PLANO
<b>Organizational DUNS Number</b>	074853573
<b>EIN/TIN Number</b>	756000640
<b>Identify the Field Office</b>	FT WORTH
<b>Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance</b>	

**ESG Contact Name**

**Prefix**  
**First Name**  
**Middle Name**  
**Last Name**  
**Suffix**  
**Title**

**ESG Contact Address**

**Street Address 1**  
**Street Address 2**  
**City**  
**State**  
**ZIP Code**  
**Phone Number**  
**Extension**  
**Fax Number**  
**Email Address**

**ESG Secondary Contact**

**Prefix**  
**First Name**  
**Last Name**  
**Suffix**  
**Title**  
**Phone Number**  
**Extension**

**Email Address**

**2. Reporting Period—All Recipients Complete**

<b>Program Year Start Date</b>	10/01/2015
<b>Program Year End Date</b>	09/30/2016

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name**  
**City**  
**State**  
**Zip Code**  
**DUNS Number**  
**Is subrecipient a victim services provider**  
**Subrecipient Organization Type**  
**ESG Subgrant or Contract Award Amount**

## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
<b>Total</b>	

Table 14 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
<b>Total</b>	

Table 15 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
<b>Total</b>	

Table 16 – Shelter Information



#### 4d. Street Outreach

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
<b>Total</b>	

Table 17 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
<b>Total</b>	

Table 18 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	
Female	
Transgender	
Don't Know/Refused/Other	
Missing Information	
<b>Total</b>	

Table 19 – Gender Information

## 6. Age—Complete for All Activities

	Total
Under 18	
18-24	
25 and over	
Don't Know/Refused/Other	
Missing Information	
<b>Total</b>	

Table 20 – Age Information

## 7. Special Populations Served—Complete for All Activities

Number of Persons in Households				
Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				
<b>Persons with Disabilities:</b>				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (unduplicated if possible)				

Table 21 – Special Population Served

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 10. Shelter Utilization

Number of New Units – Rehabbed	
Number of New Units – Conversion	
Total Number of bed - nighths available	
Total Number of bed - nights provided	
Capacity Utilization	

Table 22 – Shelter Capacity

### 11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Prevention under Emergency Shelter Grants Program			
<b>Subtotal Homelessness Prevention</b>			

Table 23 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Assistance under Emergency Shelter Grants Program			
<b>Subtotal Rapid Re-Housing</b>			

Table 24 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Essential Services			
Operations			
Renovation			
Major Rehab			
Conversion			
<b>Subtotal</b>			

Table 25 – ESG Expenditures for Emergency Shelter

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Street Outreach			
HMIS			
Administration			

**Table 26 - Other Grant Expenditures****11e. Total ESG Grant Funds**

Total ESG Funds Expended	2013	2014	2015

**Table 27 - Total ESG Funds Expended****11f. Match Source**

	2013	2014	2015
Other Non-ESG HUD Funds			
Other Federal Funds			
State Government			
Local Government			
Private Funds			
Other			
Fees			
Program Income			
<b>Total Match Amount</b>			

**Table 28 - Other Funds Expended on Eligible ESG Activities****11g. Total**

Total Amount of Funds Expended on ESG Activities	2013	2014	2015

**Table 29 - Total Amount of Funds Expended on ESG Activities**